## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

|                     | OMB Number:            | 3235-0287 |
|---------------------|------------------------|-----------|
|                     | Estimated average burd | en        |
| hours per response: |                        | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                              | ess of Reporting Per |               |   |  |   | 5. Relationship of Reportir<br>(Check all applicable)            |   |   |   |   |  |  |  |
|------------------------------|----------------------|---------------|---|--|---|--|---|---|---|---|--|--|--|
|                              |                      |               | 3 г                                     | ate of Earliest Transac  | tion (Month/D                           | av/Year)   | X   | Director  |   | Owner   |  |  |  |
| (Last) (First) (Middle)      |                      |               |   | 03/2023  |   |  |   | Officer (give title below)  | below   | (specify<br>)   |  |  |  |
| (Street)<br>HOUSTON TX 77056 |                      |               |   | Amendment, Date of 0   | Driginal Filed                          | (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |   |   |  |  |  |
| (Street)                     |                      |               |   | X Form filed by One Reporting Per  |   |  |   |   |   |   |  |  |  |
| 1 · /                        | ТХ                   | 77056         |   |  |   |  |   | Form filed by Mon<br>Person   | re than One Rep   | orting  |  |  |  |
| (City) (State) (Zip)         |                      |               |   | Rule 10b5-1(c) Transaction Indication  |   |  |   |   |   |   |  |  |  |
|                              |                      |               |   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |  |   |   |   |   |  |  |  |
|                              |                      | Table I - Nor | n-Derivative                            | Securities Acqu  | uired, Disp                             | osed of, or Benefi   | cially (  | Owned   |   |   |  |  |  |
| Date                         |                      |               | 2. Transaction<br>Date<br>(Month/Day/Ye |  | 3.<br>Transaction<br>Code (Instr.<br>8) | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |

|              |            | (Month/Day/Year) | 8)   |   |        |               |        | Reported                           | (I) (Instr. 4) | (Instr. 4) |
|--------------|------------|------------------|------|---|--------|---------------|--------|------------------------------------|----------------|------------|
|              |            |                  | Code | v | Amount | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4) |                | (1150.4)   |
| Common Stock | 05/03/2023 |                  | М    |   | 1,962  | A             | (1)(2) | 92,425                             | D              |            |
| Common Stock | 05/03/2023 |                  | М    |   | 85     | A             | (3)    | 92,510                             | D              |            |
| Common Stock | 05/04/2023 |                  | Α    |   | 556    | Α             | (4)    | 93,066                             | D              |            |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., puis, cans, warrants, options, convertible securities) |   |  |   |                              |   |  |   |                                     |                    |  |  |   |  |  |  |
|---|---|--|---|------------------------------|---|--|---|-------------------------------------|--------------------|--|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Num<br>Deriva<br>Securi<br>Acquir<br>or Disj<br>of (D)<br>3, 4 an | tive<br>ties<br>red (A)<br>posed<br>(Instr. | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)   | Date<br>Exercisable                 | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Restricted<br>Stock<br>Grant                                  | \$0   | 05/03/2023                                 |   | А                            |   | 2,263  |   | (5)                                 | (5)                | Common<br>Stock  | 2,263                                  | <b>\$</b> 0   | 4,310  | D  |  |
| Restricted<br>Stock   | \$0   | 05/03/2023                                 |   | М                            |   |  | 1,962                                       | (1)(2)                              | (1)(2)             | Common<br>Stock  | 1,962                                  | \$ <b>0</b>   | 2,348  | D  |  |
| Dividend<br>Equivalent<br>Rights                              | \$0   | 05/03/2023                                 |   | М                            |   |  | 85  | (3)                                 | (3)                | Common<br>Stock  | 85                                     | \$ <u>0</u>   | 2,263  | D  |  |

Explanation of Responses:

1. Vesting of restricted stock granted pursuant to Regency's Omnibus Incentive Plan.

2. The previous filing inadvertently underreported the number of restricted stock granted to the Reporting Person by 131 shares. This filing properly reflects the correct number of shares as of May 5, 2023.

3. Settlement of dividend equivalent rights in connection with vesting of restricted stock. The rights accrued when and as dividends were paid on Regency's common stock and vested proportionately with the restricted stock. Each dividend equivalent is the equivalent of one share of Regency's common stock.

Represents director's fees paid in stock pursuant to Regency's Omnibus Incentive Plan.

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Shares event 1000/ on the 5 of the stock pursuant to kegency is offinibus :

5. Shares vest 100% on the first anniversary of the date of grant.

<u>s/ Michael B. Kirwan,</u> <u>Attorney-in-Fact for C. Ronald</u> 05/05/2023 <u>Blankenship</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.